Docket No.

255861 US0PCT





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Xavier FANTON, et al.

SERIAL NO: 10/502,052

GAU:

FILED:

July 30, 2004

EXAMINER:

FOR:

NON-STOICHIOMETRIC NIOX CERAMIC TARGET

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR.

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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EFS-Web Receipt date: 07/30/2004

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(Modified)				255861US0PCT	10/502,052				
				APPLICANT					
LIST OF REFERENCES CITED BY APPLICANT					Xavier FANTON, et al.				
				FILING DATE		GROUP			
				July 30, 2004				l	
				U.S. PATENT DOCUMENTS					
EXAMINER INITIAL			DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE			
	AA	4 107 019	08/15/78	Hiroshi TAKAO, et al.					
	AB	5 981 092	11/09/99	Michio ARAI, et al.					
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	AO	2 793 888	11/24/00	FR			<u> </u>	NO	
	AP	01-256036	10/12/89	JP				NO	
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		OTHER R	EFERENCES ((Including Author, Title, Date, Pertinent	t Pages, e	tc.)			
	AW	S. J. WEN, et al., "And MATERIALS AND SO 1999	alysis of durabil	lity in lithium nickel oxide electrochromic r vol. 56, no. 3-4, pages 299-307	materials a	ınd devices	s", SOLAR	RENERGY	
·	M. RUBIN, et al., "Electrochromin lithium nickel oxide by pulsed laser deposition and sputtering", SOLAR ENERGY AX MATERIALS AND SOLAR CELLS, vol. 54, no. 1-4, pages 59-66 1998								
	AY								
	AZ				Additional References sheet(s) attached				
/ John Brayton/						06/16/2008			

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.